



**PrimeManagement**

*The Value of Independence*

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## **Client Questionnaire**

Prime Management Limited is committed to ensuring that each client receives a consistently superior level of service. The completion of the following questionnaire will enable us to design and tailor a client service objective plan specific to your own unique needs and circumstances, and also give sufficient information for us to give a quotation for the provision of the services specified.

Prime Management Limited  
Mechanics Building  
12 Church Street  
Hamilton  
HM 11  
Bermuda

**P** (441) 295 0329  
**F** (441) 295 3926  
**E** [prime@primebermuda.com](mailto:prime@primebermuda.com)

[www.primebermuda.com](http://www.primebermuda.com)





## Client Questionnaire

When completed please return this client questionnaire to:

Prime Management Limited  
Mechanics Building  
12 Church Street  
Hamilton  
HM 11  
Bermuda

### Investment Manager

Name of Manager.....

Contact Name.....

Assets Under Management .....

Phone..... Fax.....

Email..... Website.....

### Structure

Name of Fund.....

Fund Jurisdiction..... Number of Classes of Shares.....

Stock Exchange Listing..... Fiscal Year End.....

Reporting Currency..... Proposed/Actual Lunch Date.....



## Structure

### Reasons for Different Classes:

(Tick as many as appropriate)

- Different Management Fee
- New Issues
- Different Incentive Fee / Allocation
- Other  
(Describe).....  
.....  
.....

### Performance Fee Period:

(Tick as many as appropriate)

- Quarterly
- Semi Annually
- Annually
- Other  
(Describe).....  
.....  
.....

### Structure (Tick as many as appropriate)

- Master/Feeder
- New Issues
- Onshore Funds
- Offshore Funds
- Series of Shares
- Equalization
- Hurdle Rate
- Leverage Used

### Anticipated Size of Fund in:

- ..... 0 to 6 months
- ..... 6 months to 1 year
- ..... 1 year +

### Anticipated Number of Funds in:

- ..... 0 to 6 months
- ..... 6 months to 1 year
- ..... 1 year +

## Net Asset Value Calculation

### Final NAV Frequency

- Monthly
- Quarterly

### Frequency of estimated interm NAV's to be prepared by Administrator

- None
- Weekly

## Subscriptions and Redemptions

Anticipated Number of Subscriptions Annually .....

Anticipated Number of Redemptions Annually.....

Frequency and Notice Period for Redemptions.....

Minimum Subscription Dollar Amount.....



**Investments**

**Investment Types and Percentages of Training**

- |                                             |        |                                           |        |
|---------------------------------------------|--------|-------------------------------------------|--------|
| <input type="radio"/> Equities              | %..... | <input type="radio"/> Repos               | %..... |
| <input type="radio"/> Options               | %..... | <input type="radio"/> Swaps               | %..... |
| <input type="radio"/> Distressed Securities | %..... | <input type="radio"/> Forwards            | %..... |
| <input type="radio"/> Bonds                 | %..... | <input type="radio"/> Forex Contacts      | %..... |
| <input type="radio"/> Convertibles          | %..... | <input type="radio"/> Private Placements  | %..... |
| <input type="radio"/> Futures               | %..... | <input type="radio"/> Illiquid Securities | %..... |
| <input type="radio"/> Funds of Funds        | %..... | <input type="radio"/> Other               | %..... |
|                                             |        | (Describe).....                           |        |
|                                             |        | .....                                     |        |

**Gains Calculation**

- FIFO
- LIFO
- Specific Lot

**Main Pricing Source(s)**.....

**Pricing Methodologies for:**

Long.....

Short.....

Options.....

Number of Trades per Day.....

Average Number of Positions.....

**Legal / Regulatory**

Please provide/ attach details of any pending lawsuits.

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## Contact Directory

Prime Broker(s).....  
(Company Name and Contact Person)

Custodian(s) .....  
(Company Name and Contact Person)

Lawyers Offshore.....  
(Company Name and Contact Person)

Lawyers Onshore.....  
(Company Name and Contact Person)

Bankers.....  
(Company Name and Contact Person)

Auditors.....  
(Company Name and Contact Person)

Referred to Prime by.....  
(Company Name and Contact Person)

### Other Notes

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